

PTO/SB/01A (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

| | |
|---------------------------|---|
| Title of Invention | NATURAL PROMOTERS FOR GENE EXPRESSION IN C1 METABOLIZING BACTERIA |
|---------------------------|---|

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/689200, filed on October 20, 2003,
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)Inventor one: DEANA J. DICOSIMOSignature: *Deana J. Dicosimo*Citizen of: USInventor two: HAO NISignature: *Hao Ni*Citizen of: CNInventor three: STEPHEN K. PICATAGGIOSignature: *Stephen K. Picataggio*Citizen of: USInventor four: JOHN E. SEIPSignature: *John E. Seip*Citizen of: US☐ Additional inventors are being named on _____ additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FULL NAME OF INVENTOR(S)

Inventor five RICK W. YE
Signature *Rick W. Ye* Citizen of: US

Inventor six TAO WANG
Signature *Tao Wang* Citizen of: CN

Inventor seven _____
Signature _____ Citizen of: _____

Inventor eight _____
Signature _____ Citizen of: _____

Inventor nine _____
Signature _____ Citizen of: _____

Inventor ten _____
Signature _____ Citizen of: _____

Inventor eleven _____
Signature _____ Citizen of: _____

Inventor twelve _____
Signature _____ Citizen of: _____

Inventor thirteen _____
Signature _____ Citizen of: _____

Inventor fourteen _____
Signature _____ Citizen of: _____

Inventor fifteen _____
Signature _____ Citizen of: _____



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**POWER OF ATTORNEY
and
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INDICATION FORM**

| | | | |
|------------------------|--|---------------------------|---------|
| Application Number | | 10/689200 | |
| Filing Date | | October 20, 2003 | |
| First Named Inventor | | Deana J. Dicosimo Et. Al. | |
| Title | NATURAL PROMOTERS FOR GENE EXPRESSION IN C1 METABOLIZING BACTERIA | | |
| Art Unit | unknown | Examiner Name | unknown |
| Attorney Docket Number | | CL1747USNA | |

I hereby appoint:

☒ Practitioners at Customer Number: 23906

OR

☐ Practitioner(s) named below:

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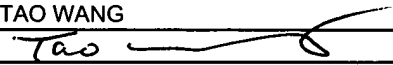
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| Address | | | | | |
| Address | | | | | |
| City | | State | | Zip | |
| Country | | | | | |
| Telephone | | Fax | | | |

I am the:

☐ Applicant/Inventor.
☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

| | | | |
|-----------|---|-----------|----------------|
| Name | TAO WANG | | |
| Signature |  | | |
| Date | 11/18/03 | Telephone | (302) 695-7686 |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 6 forms are submitted.

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PTO/SB/81 (09-03)

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|------------------------|--|---------------|---------|
| Application Number | 10/689200 | | |
| Filing Date | October 20, 2003 | | |
| First Named Inventor | Deana J. Dicosimo Et. Al. | | |
| Title | NATURAL PROMOTERS FOR GENE EXPRESSION IN C1 METABOLIZING BACTERIA | | |
| Art Unit | unknown | Examiner Name | unknown |
| Attorney Docket Number | CL1747USNA | | |

I hereby appoint:

☒ Practitioners at Customer Number:

23906

OR

☐ Practitioner(s) named below:

| Name | Registration Number |
|------|---------------------|
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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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Individual Name

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Address

City

State

Zip

Country

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Fax

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Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

| | | | |
|-----------|-------------------|-----------|--------------|
| Name | RICK W. YE | | |
| Signature | <i>Rick W. Ye</i> | | |
| Date | 11/18/03 | Telephone | 302-895-1750 |

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| Address | | | | | |
| City | | State | | Zip | |
| Country | | | | | |
| Telephone | | | Fax | | |

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Applicant/Inventor.



Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

| | | | | |
|-----------|---------------------|-----------|----------------|--|
| Name | JOHN E. SEIP | | | |
| Signature | <i>John E. Seip</i> | | | |
| Date | 11-18-03 | Telephone | (302) 695-9574 | |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.



*Total of 6 forms are submitted.

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| Address | | | |
| City | State | Zip | |
| Country | | | |
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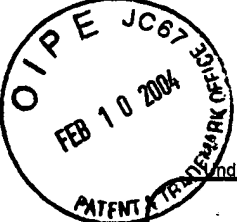
SIGNATURE of Applicant or Assignee of Record

| | | | |
|-----------|------------------------------|-----------|--------------|
| Name | STEPHEN K. PICATAGGIO | | |
| Signature | <i>Stephen K. Picataggio</i> | | |
| Date | 11/10/03 | Telephone | 302-765-3067 |

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Application Number10/689200

Filing DateOctober 20, 2003

First Named InventorDeana J. Dicosimo Et. Al.

TitleNATURAL PROMOTERS FOR GENE EXPRESSION IN C1
METABOLIZING BACTERIA

Art UnitunknownExaminer Nameunknown

Attorney Docket NumberCL1747USNA

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TelephoneFax

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SIGNATURE of Applicant or Assignee of Record

NameHAO NI

Signature

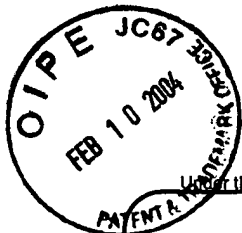
Date11/18/2003Telephone(302) 695-6931

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| Filing Date | October 20, 2003 | | |
| First Named Inventor | Deana J. Dicosimo Et. Al. | | |
| Title | NATURAL PROMOTERS FOR GENE EXPRESSION IN C1 METABOLIZING BACTERIA | | |
| Art Unit | unknown | Examiner Name | unknown |
| Attorney Docket Number | CL1747USNA | | |

I hereby appoint:



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Country

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I am the:



Applicant/Inventor.



Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

| | | | |
|-----------|--------------------------|-----------|--------------|
| Name | Deana J. Dicosimo | | |
| Signature | <i>Deana J. Dicosimo</i> | | |
| Date | 11/18/03 | Telephone | 302 695 8723 |

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